

Glacier changes from 1975 to 2016 in the Aksu River Basin, Central Tianshan Mountains

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Abstract: In this study, we analyzed glacier changes in the Aksu River Basin during the period 1975–2016, based on Landsat MSS/TM/ETM+/OLI imagery analysis and the Chinese Glacier Inventory (CGI). The results showed that the total number, area, and volume of the studied glaciers in the Aksu River Basin decreased by 202 (7.65%), 965.7 km² (25.88%), and 74.85–78.52 km³ (23.72%–24.3%), respectively. The rate of glacier retreat in the basin was slower in the north, northwest and west, but reached the highest in the east (measuring 0.86% yr⁻¹). Furthermore, there were significant regional differences in the distribution and change of glaciers, the Kumalak River Basin had the largest glacier number and area, about 63.15% and 76.47% of the studied basin, and the rate of glacier retreat in the Kumalak River Basin was 0.65% yr⁻¹, it was higher than the Toxkan River Basin which reached 0.57% yr⁻¹. We found the shrinkage rate of glacier for different periods in the past 41 years, during 1975–1990 the glaciers showed the greatest retreat, while the rate of glacier area retreat slowed down significantly from 1990 to 2000. In recent 16 years since 2000, the rate of glacier retreat in the Toxkan River Basin was higher compared with 1990–2000. The RGI50-13.04920 glacier of Kumalak River Basin had been in a state of retreat since 1990. Over the past 41 years, the temperature and precipitation in the Aksu River Basin increased obviously, and the warming temperatures were clearly the main reason for glacier retreat in the region, while the increased precipitation in the mountain area may have a direct relation with the retreating rate of glaciers.

Keywords: glacier change; glacier retreat; remote sensing; Aksu River Basin

1 Introduction

Mountain glaciers are an important component of the cryosphere, not only are they considered early warning devices and natural key indicators of climate change (Oerlemans, 2005;

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Haeberli *et al.*, 2007), but they also serve as a solid reservoir for regional freshwater resources (Sorg *et al.*, 2012). The Tianshan Mountains, with its status as one of Central Asia's main water towers (Farinotti *et al.*, 2015), is the seventh largest mountain range and boasts one of the most developed glacier mountains in the world (Sorg *et al.*, 2012). It contains 10,778 glaciers having a total area of 13,566.6 km² (<http://www.glims.org/RGI/index.html>). These glaciers are a critical component of the water resource in Central Asia (Zhao *et al.*, 2015; Chen *et al.*, 2017), and play a crucial role in the region's ecological security as well as its economic and social development (Armstrong, 2010; Sorg *et al.*, 2012).

However, in the past half-century, temperatures around the world have increased significantly and alpine glaciers have been in retreat (Haeberli *et al.*, 2000; Farinotti *et al.*, 2015). In fact, the total glacier area of China has decreased by about 5.5% (Li *et al.*, 2008). The Tianshan Mountain range is no exception to the global rule, with approximately 97.52% of its glaciers decreasing in spatial extent (Chen *et al.*, 2016), and showed a continue shrinking trend (Hagg *et al.*, 2013; Farinotti *et al.*, 2015; Petrakov *et al.*, 2016), especially since the 1970s (Shangguan *et al.*, 2009; Sorg *et al.*, 2012; Pieczonka and Bolch, 2015; Kaldybayev *et al.*, 2016). The strongest annual area shrinkage rates since the middle of the 20th century were found in the outer ranges of the Tianshan Mountains were about 0.38%–0.76% yr⁻¹, compared to the inner ranges, about 0.05–0.31% yr⁻¹ (Sorg *et al.*, 2012), the volume of glaciers has decreased by about 27±15% over the past half century (Farinotti *et al.*, 2015). In the short term, because of the ample quantities of water supplied by retreating glaciers and increased precipitation, the runoff of the rivers which were mainly fed by the glacier meltwater has increased significantly. (Pan *et al.*, 2012; Wang *et al.*, 2012).

The Aksu River originates in Central Tianshan Mountains and is the primary water supplier for the Tarim River mainstream in Northwest China, comprising 73.2% of the river's volume (Fan *et al.*, 2014). The Aksu River also plays an important role in the economic and human well-being of the Tarim River Basin region (Ouyang *et al.*, 2007). Amidst a backdrop of accelerating global warming over the past half-century, the water cycle has intensified, particularly in these regions dominated by glacier and snow, some of the smaller glaciers rapidly shrinking or even disappeared. The Aksu River is one of the typical rivers where glacier and snow meltwater constitute the primary water source. In fact, the glacier meltwater of the river's runoff accounts for more than 50% (Liu *et al.*, 2006), and the glacier meltwater of the mountains is the main source of the Aksu River. With a rising of temperature, how does climate change affect the spatio-temporal change of glaciers of the Aksu River Basin? It is unclear how the depletion of glacier reserves will affect future changes in river runoff. Thus, there is a growing need to monitor and research the glaciers of this region, and to provide an accurate assessment of glacier changes, including their influence on river runoff. It plays an important role in the development of oases and sustainable use of water resources of this region. In this study, we analyzed glacier changes in the Aksu River Basin, for the periods 1975–1990, 1990–2000 and 2000–2016, based on Landsat imagery and the CGI. We used the band ratio threshold (TM3/TM5 for TM/ETM+ and TM4/TM6 for OLI) and visual interpretation to produce glacier outlines, and combined temperature and precipitation data to deepen our investigations. The aim of this study is to provide a scientific basis for the scientific management of water resources.

2 Study area

The Aksu River basin, which is situated in Central Asia, in the central region of Tianshan Mountains, covering an area measuring $5.0 \times 10^4 \text{ km}^2$, of which the territory in Chinese area occupies $3.1 \times 10^4 \text{ km}^2$ and the area of Kyrgyzstan is $1.9 \times 10^4 \text{ km}^2$ (Figure 1). The river has two mainstreams originating from Kyrgyzstan—the Kumalak and Toxkan rivers. The Kumalak River is the north branch of the Aksu River, it originates from the Hantengri Mountains (6995 m a.s.l.) and is 293 km long. The Kumalak River hosts the Xiehela hydrologic station at its upper reaches and covers a catchment area of $1.28 \times 10^4 \text{ km}^2$. The Toxkan River is the west branch of the Aksu River, it originates from the Artbash Mountains, and is 457 km long, and has the Shaliguilanke hydrologic station at its upper reaches. The Toxkan River Basin covers a catchment area of $1.84 \times 10^4 \text{ km}^2$. The Kumalak and Toxkan rivers join at Awati and finally flow to the Tarim River, 132 km long (Ouyang *et al.*, 2007).

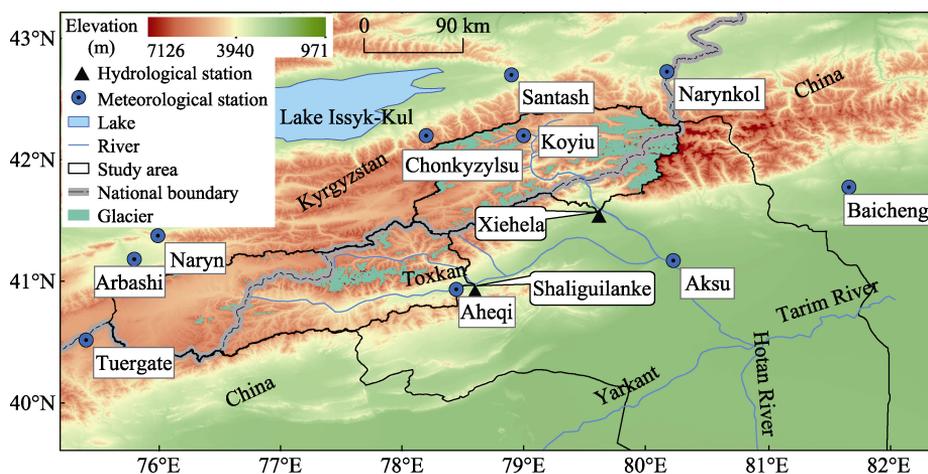


Figure 1 Location of the Aksu River Basin

The study area is characterized by its vast size, notable variations, and complex terrain, it mainly consists of mountains and plains. The terrain of the entire basin declines gradually from west to east and from north to south, with distinct geo-morphological zoning from high to low and an average elevation of 2233 m. The Aksu River Basin experiences drought conditions that are typical for a temperate continental climate. The multi-year average annual temperature, precipitation and potential evaporation in the plain area, respectively, are about 9.2°C, 64 mm and 1890 mm (Xu *et al.*, 2011). Moreover, with uneven spatial distribution, the vertical zonality of the basin is quite obvious. The high-mountain zone features low temperatures, high levels of precipitation, and abundant snow and ice where the precipitation reaches 900 mm above the snow line. The mid-mountain zone has distinct spatial variations in temperature and extensive precipitation distribution (Fan *et al.*, 2014), while the low-mountain zone is extremely dry and features high temperatures, abundant solar radiation, less precipitation, and strong potential evaporation (Krysanova *et al.*, 2014).

3 Data and methods

3.1 Data

Landsat scenes were chosen to analyze glacier change in the Aksu River Basin, including

Landsat MSS/TM/ETM+/OLI and CGI. Twenty-one good quality Landsat MSS/TM/ETM+/OLI scenes were downloaded from USGS (United States Geological Survey, <http://www.usgs.gov>) (Table 1). All of the scenes were acquired during ablation period (from July to September, mainly in mid-August) with minimal cloud cover or nearly cloud free conditions were chose in this study to reduce potential uncertainty in glacier boundary delineation. The scenes provided by USGS were processed to Standard Terrain Correction (Level 1T), having achieved systematic radiometric and geometric accuracy. Landsat MSS, TM and ETM+ scenes were co-registered to the 2016 Landsat OLI scenes, and root-mean-square error (RMSE) was within ± 0.5 pixel. The glacier measurements from the CGI were measured and interpreted by stereophotogrammetry from aerial photographs taken in 1966 at a scale of 1:60,000, which had been corrected based on field investigations and aerial photographs. The errors estimated for the first CGI are in the range of $\pm 0.5\%$ to $\pm 1\%$ (Shi, 2008).

Table 1 Remote sensing image data of Landsat images

Period	Data	Path/Row	Satellite sensor	Spatial resolution
1975	1977-08-18	158/31	Landsat MSS	80 m (Channels 4–6, 7)
	1975-08-12	159/31	Landsat MSS	
	1975-08-13	160/31	Landsat MSS	
	1975-08-13	160/32	Landsat MSS	
1990	1990-08-02	146/31	Landsat TM	30 m (Channels 3–5, 7)
	1989-08-22	147/31	Landsat TM	
	1991-08-19	148/31	Landsat TM	
	1991-08-19	148/32	Landsat TM	
	1990-08-07	149/31	Landsat TM	
	1990-08-07	149/32	Landsat TM	
2000	2003-07-29	146/31	Landsat ETM+	15/30 m (Channels 3–5, 7)
	1999-08-26	147/31	Landsat ETM+	
	1999-09-18	148/31	Landsat ETM+	
	1999-09-18	148/32	Landsat ETM+	
	1999-08-16	149/32	Landsat ETM+	
2016	2016-08-09	146/31	Landsat OLI	15/30 m (Channels 4–6, 7)
	2016-09-01	147/31	Landsat OLI	
	2015-08-21	148/31	Landsat OLI	
	2016-09-08	148/32	Landsat OLI	
	2015-08-12	149/31	Landsat OLI	
	2015-08-12	149/32	Landsat OLI	

For DEM, we used a digital elevation model (DEM), from the Shuttle Radar Topography Mission (SRTM), with a spatial resolution of 90 m. The accuracy of SRTM DEM is specified within 20 m in xy-directions and 16 m in the z-direction, with a 90% confidence coefficient (Falorni *et al.*, 2005). Flat areas in particular can show a RMSEz below 10 m, whereas steep slopes may have even higher elevation errors (Rodríguez *et al.*, 2006).

The terminus elevation of the glaciers was above 3200 m, and the meteorological stations chosen in our study were located between 1104–3504 m. Climate data of the study area in

China were obtained from the National Climate Centre, China Meteorological Data Service Center (CMDSC, <http://data.cma.cn/en>) as annual and monthly dataset of temperature and precipitation for the period 1960–2016. The climate data from the Shaliguilanke (1909 m) and Xiehela (1427 m) hydrologic station were provided by the Xinjiang Tarim River Basin Management Bureau during 1975–2000. And the observed stations (between 1806–2800 m) in Kyrgyzstan (Figure 1) were obtained from the National Snow and Ice Data Center (NSIDC, <ftp://sidacs.colorado.edu/pub/DATASETS/NOAA/G02174/>) during the period 1960–2003. According to the temperature of meteorological stations at different elevations, we found that every increase of 120–140 m in altitude will lead to a drop of temperature by 1°C.

3.2 Methods

3.2.1 Extraction of glacier information

At present, there are several different remote sensing methods for acquiring glacier information, these include band ratio thresholding (Bolch, 2007; Kaldybayev *et al.*, 2016), supervised and non-supervised classification (Sidjak, 1999), the normalized difference snow index (NDSI) (Willmes *et al.*, 2009), the decision tree classifier (Racoviteanu and Williams, 2012), the object-oriented image interpretation, principal components analysis (Hagg *et al.*, 2013), among others. The presence of snow, shadowing, moraines and water interferes with the process of data-gathering at glacier sites, making it difficult to ensure the accuracy of the information being extracted. The band ratio threshold method is an efficient and time-effective approach for distinguishing glaciers from clouds and shadows (Kaldybayev *et al.*, 2016). In addition, we displayed the multispectral scenes in a color combination that emphasizes the difference between glaciers (snow and ice) and non-glaciers.

Glacier outlines were delineated based on Landsat scenes from 1975, 1990, 2000 and 2016 (Table 1). We first used the band ratio technique (TM3/TM5 and TM4/TM6) with a threshold of 2.1 to reclassify glaciers and non-glaciers, misclassified areas (e.g. snow patches, cast shadows and lakes) had to be corrected manually using multispectral band combinations (MSS bands 4, 6 and 7; TM/ETM+ bands 3, 5 and 7; OLI bands 4, 6 and 7) on Landsat imagery. Glacier mapping by spectral band combinations is regarded as one of the most efficient methods of mapping debris-free glaciers (Kaldybayev *et al.*, 2016), but it is not suitable for debris-covered glaciers (Pan *et al.*, 2012). Due to the limitations of this method, the outlines of several debris-covered glaciers in the Aksu River Basin were difficult to extract (Huai *et al.*, 2015). In these cases, visual interpretation was applied using characteristics and cues such as terminal moraines, the heads of glacier meltwater, glacial lakes and lateral moraines. In utilizing the process of remote sensing scenes to extract glacier outlines and replace anomalies and artifacts, we re-sampled the SRTM data to 30 m and then used the ridge line (extracted from the plane curvature and the slope-shape combination watershed hydrology method) to extract the glacier outlines.

The Landsat MSS scenes have a comparatively low resolution (80 m), which is less accurate than TM/ETM+ and OLI scenes, especially for glaciers that are relatively small in area and are debris-covered. Similar problems have been reported by Piczonka *et al.* (2015), Li *et al.* (2006) in Central Tianshan Mountains, Yu *et al.* (2015) in eastern Altun Mountains, and Pan *et al.* (2012) in Gongga Mountains. Despite these issues, Landsat MSS scenes re-

main an important resource, since they are available in most parts of Central Asia and provide some of the only pertinent data extending from the 1970s (Li *et al.*, 2006; Pieczonka and Bolch, 2015; Yu and Lu, 2015). Landsat MSS data from 1975–1977 were used in this study to extract glacier outlines in the 1970s. CGI was used as supplementary data to obtain and correct the glacier information in some areas with difficult mapping conditions. Meanwhile, in order to reduce any uncertainty arising from the extraction of glacier boundaries, it is also supported by high-resolution images of Google Earth during the process of glacial boundary extraction.

As mentioned above, uncertainties in glacier mapping are an ongoing issue. For instance, frozen water bodies, clouds, snowfields, debris cover and shadows are unavoidable factors (Barry, 2006; Gardner *et al.*, 2013; Kaldybayev *et al.*, 2016) affecting the accuracy of glacier outline maps. In the Aksu River Basin, the refreezing water did not occur during the ablation time, so this factor is neglected. Furthermore, in analyzing the Landsat scenes used in this region, we noted that there are few clouds in the 1975 and 2016 scenes, and only a few clouds in the 1990 and 2000 scenes. When clouds were present, we used the best available alternative scenes from the nearest years. Supraglacial debris cover can be a major factor reducing the accuracy of glacier outlines. However, only about 5% of the glacier surfaces in our study area are debris-covered (Duethmann *et al.*, 2015; Pieczonka and Bolch, 2015). Additionally, misclassified areas such as cast shadows, lakes, debris and seasonal snow cover were manually edited out. Meanwhile, in order to reduce the uncertainty of the extraction of glacier boundaries to the greatest extent possible, Google Earth was also supported.

3.2.2 Changes in glacier area of various size classes

Because the scale of glacier development in the study area differs in region, we choose the standard 0.01 km² as the minimum glacier area (Kaldybayev *et al.*, 2016). Based on this standard, the glaciers in the Aksu River Basin were divided into 11 classes, as follows: (1) 0.01–0.1 km²; (2) 0.1–0.5 km²; (3) 0.5–1 km²; (4) 1–2 km²; (5) 2–5 km²; (6) 5–10 km²; (7) 10–20 km²; (8) 20–30 km²; (9) 30–50 km²; (10) 50–70 km²; (11) >70 km².

3.2.3 Glacier volume estimation

Changes in glacier thickness and volume has an important and direct impact on river runoff compared to glacier surface area. However, the traditional methods for measuring snow pits e.g. ground-penetrating radar (GPR) or glacier thickness can be difficult to be used when measuring a large number of glaciers due to high costs and the risks inherent in field surveys. While in regions without high quality topographical map coverage, uncertainty persists in measuring glacier thickness, and thus volume-area (VA) scaling has become a feasible alternative for assessing glacier volume variations both regionally (Nuth *et al.*, 2010; Petrakov *et al.*, 2016; Yu and Lu, 2015) and globally (Barry, 2006). This approach offers the simplest method for roughly estimating the glacier volume changes from glacier areas (Yu and Lu, 2015). In VA scaling, the ice volume is calculated as a function of area. Equation (1) shows the general form of VA scaling:

$$V = CS^r \quad (1)$$

where V is glacier volume (km³), S is glacier area (km²), C and r are the scaling coefficient, the density of ice is 0.9 g/cm³. In this study, we used two volume-area scalings, with $C = 0.0365$ and $r = 1.375$ (Radić and Hock, 2010) and $C = 0.0433$, $r = 1.29$ (Grinsted, 2013) to estimate the volumes of all study glaciers.

3.2.4 Glacier terminus change

Glacier terminus change is one of the most important indicators of glacier change (Pieczonka *et al.*, 2013). Due to harsh environmental conditions typically present in the field, measurements are often restricted, so remote sensing is used to measure glacier terminus. Remote sensing scenes are usually based on differences between the length of the longest axes (i.e., the length of the main line) (Machguth and Huss, 2014). However, some glaciers have a complex form (e.g. more than one glacier terminus), which results in inaccurate recording of changes in glacier length. According to the planar characteristics of remote sensing images on glaciers, and by using remote sensing images, we used GIS technology and the principles of geographical statistics to improve the method (i.e., the main method of parallel lines) for measuring glacier length and thus discerning glacier changes in the Aksu River Basin (Nie *et al.*, 2010).

The main method of parallel lines keeps the longest axis parallel to the line-cutting end of the glacier and uses the average value of each segment change. The equation is as follows:

$$Grs = \sum_{i=1}^n (L_{i-t_2} - L_{i-t_1}) / n(t_2 - t_1) \quad (2)$$

where *Grs* is the mean rate of glacier retreat; L_{i-t_2} is the glacier length of the line *i* in t_2 year; t_1 and t_2 are the first year and last year of the scenes (unit: year), respectively, and *n* is the glacier number. (Note that we used five parallel lines in the article.)

4 Results

4.1 Spatio-temporal changes of glacier

We mapped 2506 glaciers in the Aksu River Basin, covering a total area of 2765.54 km² in 2016, about 8.92% of the total basin catchment area. The glacier area of the Kumalak River Basin was about 2091.81 km², about 16.34% of the catchment area, and the glacier area of the Toxkan Basin was 673.73 km², about 3.66% of the catchment area.

We analyzed glacier changes in the Aksu River Basin during 1975–2016, discovering that the glaciers in the region showed significant recession (Figure 2 and Table 2). Specifically, in 1975, the total glacier area of the basin was about 3731.24 km², but by 2016, this area had shrunk to 2765.54 km², a decrease of 965.7 km² (25.88%), with a rate of 23.55 km² yr⁻¹. Overall, the total glacier number dropped from 2708 to 2506, these including many smaller glaciers that were split by larger glaciers or disappeared altogether due to melting. In 1975, the total glacier volume in the basin was about 307.98–331.08 km³, but by 2016, this volume had shrunk to 233.13–252.56 km³, this represents a decrease of 74.85–78.52 km³, and a reduction of about 1.83–1.92 km³ yr⁻¹ (0.58–0.59% yr⁻¹).

4.1.1 Changes in glacier areas

As shown in Figure 2, the vast majority of glaciers in the Aksu River Basin were classified as small glaciers (< 1 km²), about 74.26% of the total glacier number but only contributed to 21.49% of the total glacierized area. The glaciers (>1 km²) comprised only 25.74% of the total glacier number, but these contributed 78.51% of the total glacierized area.

Over the past 41 years, we identified that the number of the glaciers with sizes < 1 km² had increased by 60 (2.98%), while the glacier area decreased by 143.12 km² (19.42%)

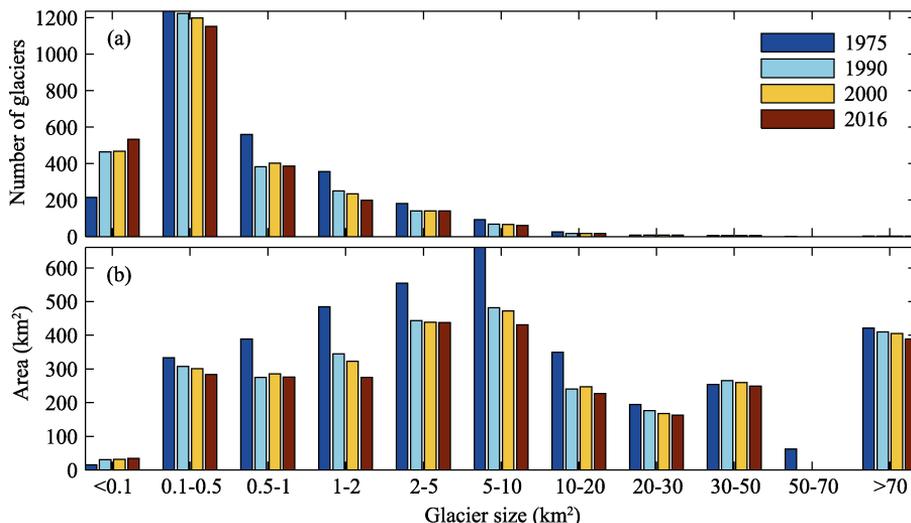


Figure 2 Changes in glacier number and area in the Aksu River Basin from 1975 to 2016

(Table 2). The number of glaciers $> 1 \text{ km}^2$ had been reduced by 240 (35.66%) and the glacier area had been decreased by 27.4%. Meanwhile, the number of glaciers measuring $\geq 20 \text{ km}^2$ did not change significantly, mainly reduced by their thickness and the glacier area had decreased by 14.92%. The variations in glacier number and areas indicate that the small glaciers are generally more sensitive to climate change.

Table 2 Changes in glacier area according to various glacier sizes of the Aksu River Basin from 1975 to 2016

Interval area (km²)	0.01–0.1	0.1–0.5	0.5–1	1–2	2–5	5–10	10–20	20–30	30–50	50–70	>70
Number change	317	-84	-173	-156	-41	-31	-10	-1	0	-1	0
Number change (%)	147.44	-6.80	-30.89	-43.94	-22.53	-33.70	-38.46	-12.50	0	-100	0
Area change (%)	131.58	-14.72	-29.20	-43.20	-21.06	-34.76	-35.25	-16.17	-1.71	-100	-7.63

4.1.2 Changes in glaciers at different elevations

Based on glacier polygons and SRTM-DEM, we investigated the changes in glaciers at different elevations during 1975–2016. The glaciers are mainly located at 3900–4000 m, 4000–4100 m, 4100–4200 m and 4200–4300 m, with their altitude range accounting for 12.02%, 18.54%, 20.33% and 14.82%, respectively, for a total of 65.69%.

In analyzing the trend of advancing and retreating glaciers at different altitudes, we found the glaciers below 4100 m showed a significant retreat. For example, the decrease rates of glaciers at 3300–3400 m, 3400–3500 m, 3500–3600 m, 3600–3700 m, 3700–3800 m, 3800–3900 m, 3900–4000 m and 4000–4100 m were 57.14%, 81.82%, 61.76%, 69.89%, 54.19%, 27.48%, 22.02% and 12.62%, respectively. Of these, the glaciers below 3,700 m showed the greatest retreat, accounting for 54.4% of the total glacier number reduction. The glacier number above 4,100 m showed obviously increase, these increased glaciers at 4100–4200 m, 4200–4300 m, 4300–4400 m and 4400–4500 m were 58 (12.86%), 34 (10.09%), 42 (20.29%) and 60 (72.29%), respectively. By 2016, all glaciers below 3250 m had completely disappeared. The terminus elevation of the glaciers in the Aksu Basin was 4078.26 m in 1975, this jumped to 4146.11 m by 2016, which marks an increase in elevation of nearly 67.85 m. Overall, the median elevation of glaciers increased by 36.09 m over the past 41 years.

A comparative analysis of different elevations and glacier classes showed that glaciers in area under study region had generally receded (Figure 3), with glacier area measured 0.1–2 km² which situated below 4,400 m showing a significant retreat. Especially, between 1975 and 2016, glaciers measured 1–2 km² and situated between 3800–3900 m and 3900–4000 m in elevation underwent the greatest reduction (each up to 44), while the glacier number and area of the glaciers measured 0.01–0.1 km² and situated at 3800–4600 m experienced an increase of 59.05% and 131.59%, respectively. We found this resulted from the glaciers > 0.1 km² at these elevations retreated and ruptured. The characteristics of glaciers at different elevations indicate that with the increased temperature in the Tianshan Mountain area, the elevation of the glacier terminus in the Aksu River Basin is obviously rising.

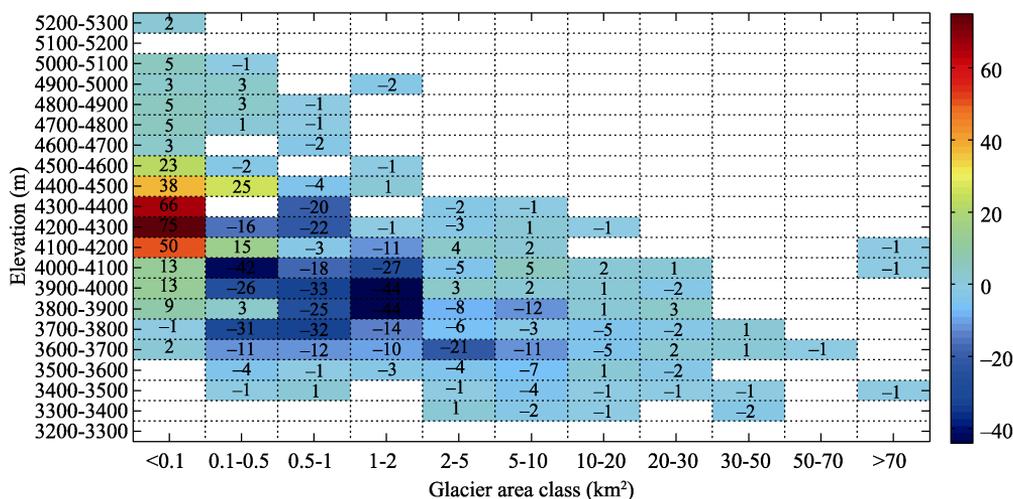


Figure 3 Changes in glacier number by glacier class and elevation during 1975–2016. The color bar shows the number change of glaciers, the blue color shows the decrease number and the red color shows the increase number.

4.1.3 Glacier changes by sector

Further analysis of regional characteristics of glacial distributions in the Aksu River Basin from 1975–2016 indicated that the majority of the glaciers were north-facing (northwest, north, and northeast), with a glacier area of 1413.65 km², accounting for 51.12% of the total glacier area (Figures 4a and 4b). Furthermore, all aspects showed a reduction in glacier area during 1975–2016, but the largest area changes occurred on the northeast aspect, decreased by 165.78 km² or 17.27% of the glacier area. The glaciers on the east, northeast, south and southeast aspects showed a remarkable reduction in glacier area, about 35%, 31.22%, 32.53% and 33.76%, respectively, especially on the east aspect. While glaciers with aspects to the west, northwest and north showed the lowest, retreating by 0.57% yr⁻¹, 0.45% yr⁻¹ and 0.50% yr⁻¹, respectively.

In comparison of the aspects of glacier area and relative changes of different sub-basins (Figures 4b and 4c), the glacier area in the Kumalak and Toxkan river basins was mainly concentrated in the north (northwest, north and northeast) and accounted for approximately 53.26% and 61.84% of the sub-basin glacier area. We found that the glaciers in the east, northeast and southeast of the Aksu River Basin underwent significant retreat, and the glaciers in the south and southwest which are closer to the western portion of the basin showed a strongly retreat. The glaciers in the east, southeast and northeast aspects of the Kumalak

River Basin showed the strongly retreat, the glacier area reduced by 37.68%, 34.35% and 34.15%, respectively. While in the Toxkan River Basin, the largest area changes occurred on the south, southwest and southeast aspects, reduced by 34.92%, 34.05% and 31.55%.

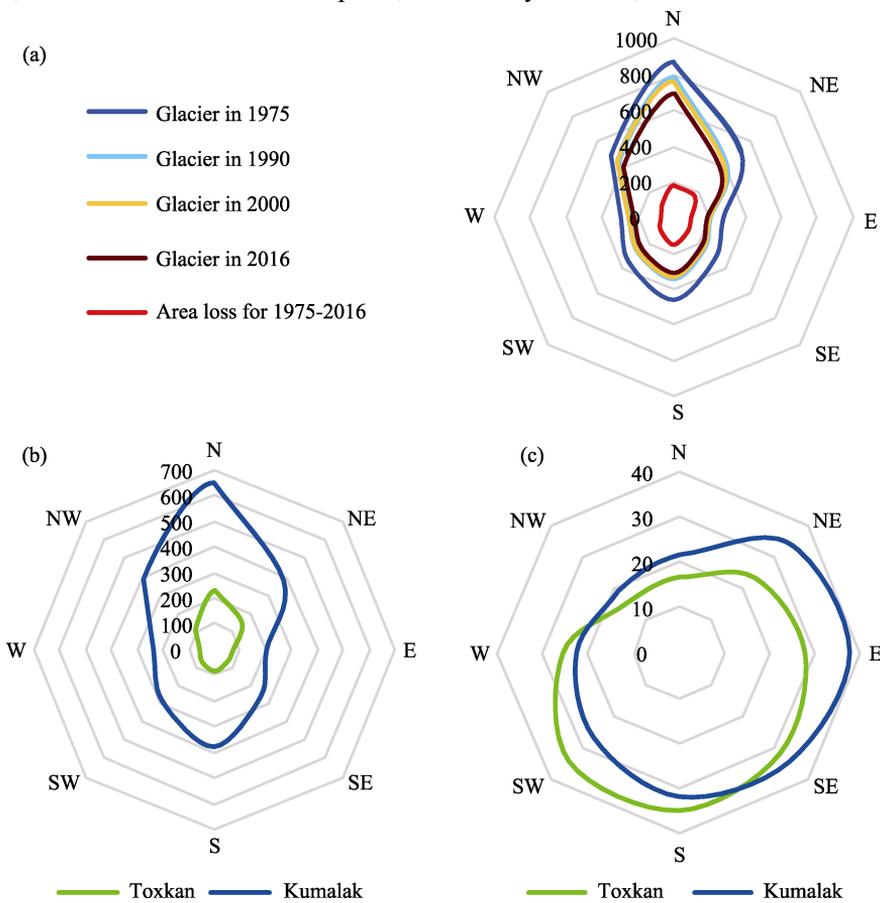


Figure 4 Distribution of glacier areas and their changes according to aspect in the Aksu River Basin and sub-basins during 1975–2016 (a. Glacier area changes in the Aksu Basin; b. Distribution of glacier areas in different Aksu sub-basins in 1975; c. Relative changes in glacier areas in different Aksu sub-basins)

From further analysis of the regional characteristics of glacial distributions and changes, we found that the precipitation of the basin was mainly supplied by the westerly airflow and the warm and wet flow which originated from the Arctic Ocean (Krysanova *et al.*, 2014). Hence, the precipitation on the north aspect was larger than that on the south of the mountains. Meanwhile, the north aspect received less solar radiation than the south, these areas were thus more conducive to glacier development, and made the majority of the glaciers concentrated in the north aspect (Lai *et al.*, 1986). Moreover, we identified that the differences among glacier rates of different aspects were mainly affected by solar radiation, rainfall, wind and terrain, and the differences on glacier scale of different aspects will also affect the glacier change to some extent.

4.2 Regional differences in glacier changes

4.2.1 Regional differences of glacier distribution

The results showed that there had significant regional differences in distribution of glaciers

between the Toxkan and Kumalak river basins (Figures 1, 4 and Table 3). The glaciers in the Aksu River Basin were mainly concentrated in the Kumalak River Basin, where the glaciers were relatively concentrated and large in scale, and the glacier number and area accounted for 63.15% and 76.47% of the former. The mean area of each glacier was about 1.36 km², while in the Toxkan River Basin, only 0.69 km². In terms of the proportion of glaciers in the sub-basins, the glacier area in the Kumalak River Basin was the highest, about 22.3% of the basin area. Although the boundary area of the Toxkan River Basin was larger, the proportion of glacier area didn't exceed 5% in any period. The results showed that the glacier scale and area of the basin had an important influence on the hydrological process of the river.

Table 3 Glacier changes in the Aksu River Basin in different periods from 1975 to 2016

Sub-basin	Glacier number				Glacier area proportion (%)			
	1975	1990	2000	2016	1975	1990	2000	2016
Toxkan	998	968	971	970	4.77	3.90	3.87	3.66
Kumalak	1710	1597	1572	1536	22.30	17.62	17.32	16.34
Total	2708	2565	2543	2506	12.04	9.59	9.45	8.92

4.2.2 Regional differences of glacier change

Since 1975, the glaciers in the Aksu River Basin have shown a shrinking trend, however, the different sub-basins had a big difference in glacier area change. Compared to the glacier development, a shrinkage of 26.7% occurred in the Kumalak River Basin which was higher than that of the Toxkan River Basin, at a rate of 23.26%. We found that the elevations of the Kumalak River Basin range from 2000 to 7400 m. The peak is Tuomuer (up to 7435 m) and the average elevation of the basin is about 3820 m, these altitudes were conducive to glacier development. Thus, we found the average elevation of the glacier terminus in the Kumalak River Basin was 4087.9 m. In slight contrast, the elevation of the Toxkan River Basin ranges from 1800 to 5600 m, with the average glacier terminus situated at 4238.29 m. That is why the average elevation of the glacier terminus in the Kumalak River Basin is lower than that of the Toxkan River Basin, which made the glaciers in the former particularly more sensitive to climate change with more obvious glacier retreat. Over the past 41 years, the average and median elevation of glacier terminus of the western Toxkan River Basin had increased by 67.42 m and 36.62 m, respectively, while the eastern Kumalak River Basin increased by 63.69 m and 33.08 m, respectively.

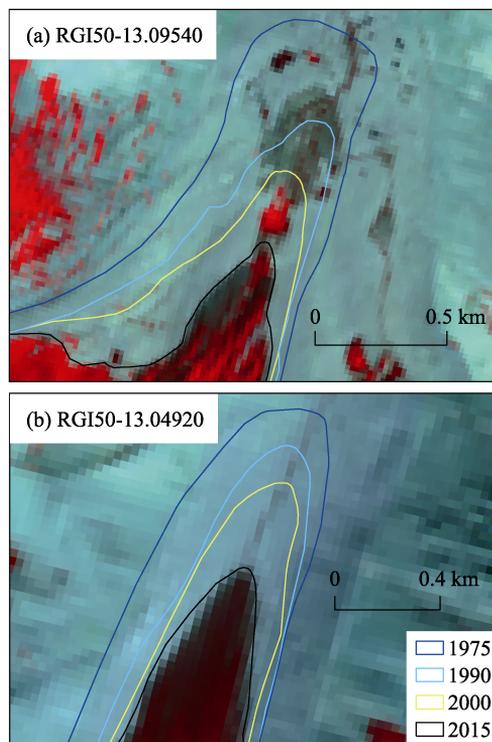


Figure 5 Terminus retreat of the typical glaciers in the Aksu River Basin since 1975

4.2.3 Changes of glacier retreat

Four intervals and two typical glaciers (the RGI50-13.09540 glacier in the Toxkan River Basin and the RGI50-13.04920 glacier in the Kumalak River Basin) were selected using Landsat MSS/TM/ETM+/OLI scenes during the period 1975–2016. These were combined with the CGI to extract typical glacier length. The results showed that the terminus of the RGI50-13.09540 glacier (the glacier ID of Randolph Glacier Inventory, RGI) retreated about 1530.1 m (37.32 m yr^{-1}) in length and the glacier area had reduced by 5.43 km^2 (about $0.64\% \text{ yr}^{-1}$) from 1975 to 2016 (Figure 5 and Table 4). While the shrinkage of RGI50-13.04920 glacier in the Kumalak River Basin showed a retreat of 660.22 m (about 16.1 m yr^{-1}), with the glacier area had reduced by 1.9 km^2 (about $0.48\% \text{ yr}^{-1}$). Meanwhile, regional glacier shrinkage varied with elevation (hypsography), we found glaciers located in the lower elevation shrank more strongly by comparing the glacier changes. For example, the terminus of the RGI50-13.09540 glacier whose elevation was above 3800 m of the Toxkan River Basin rose by about 47 m over the past 41 years, while the RGI50-13.04920 glacier whose elevation of the terminus was about 3500 m, rose by about 77 m and the terminus of it retreated about 12.87%.

Table 4 Terminus retreat of the typical glaciers in the Aksu River Basin

Glacier ID	Sub-basin	Length change			Area change			Terminus change		
		m	(%)	m yr^{-1}	km^2	(%)	$\text{km}^2 \text{ yr}^{-1}$	m	(%)	m yr^{-1}
RGI50-13.09540	Toxkan	1530.1	1.54	37.32	5.43	26.26	0.13	47	1.21	1.15
RGI50-13.04920	Kumalak	660.22	12.87	16.1	1.9	19.62	0.05	77	2.18	1.88

Our exhaustive investigation of the glacier retreat indicated that, the rate of glacier retreat was not consistent during different periods. Combined the characteristic of climate change, our investigation indicated that, during the last 41 years, the highest glacier retreat of both RGI50-13.09540 and RGI50-13.04920 occurred in the period 1975–1990, slowed obviously during 1990–2000, about $-0.2\% \text{ yr}^{-1}$ and $-0.27\% \text{ yr}^{-1}$, respectively. Over the past 16 years since 2000, the rate of the RGI50-13.09540 glacier retreat became quickened, reached its rapid rate of $0.27\% \text{ yr}^{-1}$, but it was still lower compared with the period 1975–1990. Meanwhile, the RGI50-13.04920 glacier retreat of the Kumalak River Basin has been slight since 1990 (Table 5).

Table 5 Glaciers retreat in the Aksu River Basin in different periods from 1975 to 2016

Glacier ID	Sub-basin	Length change (m yr^{-1})			Area change ($\% \text{ yr}^{-1}$)		
		1975–1990	1990–2000	2000–2016	1975–1990	1990–2000	2000–2016
RGI50-13.09540	Toxkan	44.36	35.69	31.74	1.42	0.2	0.27
RGI50-13.04920	Kumalak	10.79	13.95	22.43	1.02	0.27	0.15

4.3 Analysis of the driving factors of glacier change

A glacier system is influenced by a broad range of interconnected climatic and topographic factors (e.g. elevation, aspect and slope) (Yu and Lu, 2015; Wang *et al.*, 2017) as well as by glacier-supplying conditions (supraglacial debris cover and the size of the glacier area) (Kaldybayev *et al.*, 2016). Among the contributing factors to glacier change, these related to climate (e.g. temperature and precipitation) (Farinotti *et al.*, 2015; Li *et al.*, 2006; Pieczonka *et al.*,

2013) may be the most important factor. Glacier change is essentially the product of climate fluctuation, with the ablation and accumulation of glaciers being controlled by the two main factors of temperature and precipitation. These two factors also affect glacier development (Pan *et al.*, 2012).

Based on the climate data from four meteorological stations (Tuergate, Aheqi, Baicheng and Aksu) and two hydrological stations (Shaliguilanke and Xiehela) (Figures 1, 6 and 7) near the basin, we found a significant increase in temperature during the period 1975–2016. Of special note is that the temperature experienced a sharp increase in 1997, and since then has been in a state of high variability. Compared to the temperatures before 1997, the temperature has increased by 0.29–1.65°C. The increased rate of the temperature was about 0.38°C 10yr⁻¹, which means the temperature has increased by 1.56°C over the past 41 years, and the rates of temperature increased in the Shaliguilanke and Xiehela stations were about 2.04°C 10yr⁻¹ and 1.03°C 10yr⁻¹, respectively. The trend was significantly higher than that of the average global warming rate, and those of the Tianshan Mountains, northwest China and Xinjiang (Table 6). The rapid increase in temperature in recent years has resulted in a prolonged melting season for glaciers and accelerated glacier retreat in summer seasons (Sorg *et al.*, 2012; Petrakov *et al.*, 2016).

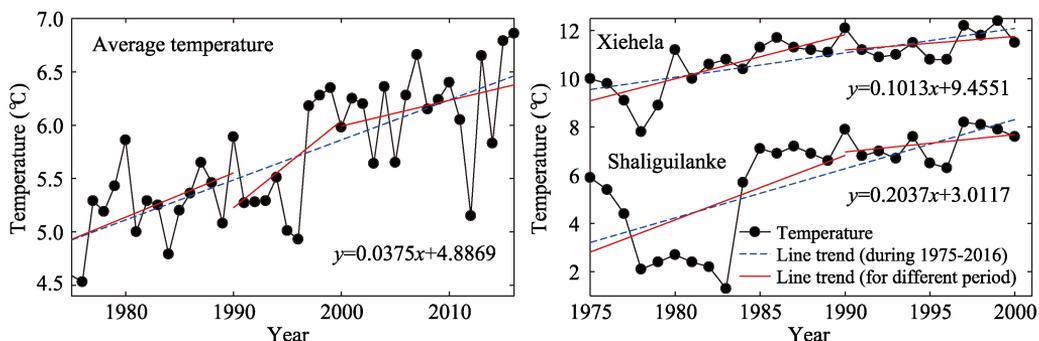


Figure 6 Variations in annual average temperature in the Aksu River Basin during 1975–2016

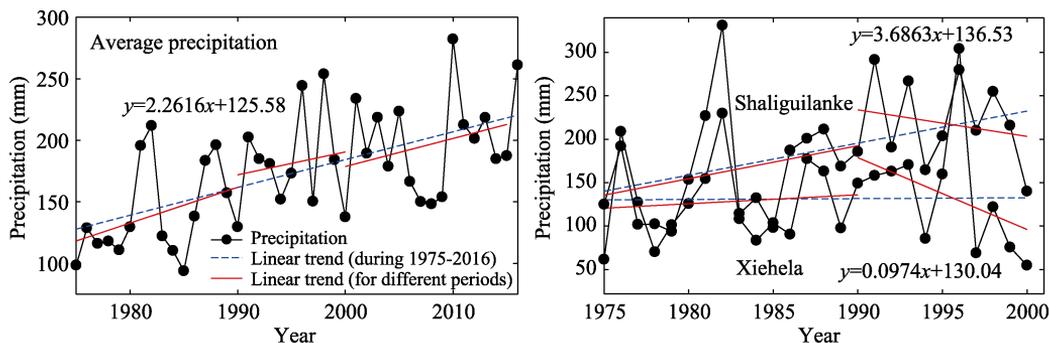


Figure 7 Variations in annual average precipitation in the Aksu River Basin during 1975–2016

Table 6 The increasing rate of temperature in different regions

Region	Global	Central Asia	China	Northwest China	Xinjiang
Increasing temperature (°C 10yr ⁻¹)	0.12 IPCC, 2013	0.15–0.31 Deng <i>et al.</i> , 2017	0.22 Ding <i>et al.</i> , 2007	0.34 Chen <i>et al.</i> , 2014	0.33 Li <i>et al.</i> , 2012

With the increased temperature, the variation of the annual average precipitation of the Aksu River Basin also showed a notable upward trend. Over the past 41 years, the annual precipitation increased by about 92.74 mm, at a rate of $22.6 \text{ mm } 10\text{yr}^{-1}$ (Figure 7). With the increasing altitude, the precipitation increased more significantly, for example, during 1975–2016, the annual increased rate of precipitation at the Tuergate (3504 m), Aheqi (1985 m), Aksu (1104 m) and Baicheng (1229 m) stations increased by $37.33 \text{ mm } 10\text{yr}^{-1}$, $33.36 \text{ mm } 10\text{yr}^{-1}$, $8.39 \text{ mm } 10\text{yr}^{-1}$ and $11.38 \text{ mm } 10\text{yr}^{-1}$, respectively. In region, the increased rate of precipitation of the western was significantly higher than that of the eastern. The precipitation of all meteorological stations showed a positive trend of increase, the precipitation increased at Baicheng and Aksu stations were more than $8 \text{ mm } 10\text{yr}^{-1}$, and the increased precipitation were more than $28 \text{ mm } 10\text{yr}^{-1}$ at Aheqi and Tuergate stations. What's more, the increased precipitation of the Shaliguilanke hydrological station was about $36.9 \text{ mm } 10\text{yr}^{-1}$, which was more remarkable.

There is a close correlation between the annual average temperature, precipitation change and glacier retreat. During the period 1975–1990, the average temperature was about 5.24°C , 7.78% higher than the average temperature during 1960–1975, including the high-altitude stations of Tuergate (3504 m) and Koyiu (2800 m) where the average temperature increased by 12.81% and 10.37%, respectively, and even the average temperature in the Atbashi station (2320 m) increased by about 38.49%, which was more significant. The average precipitation during 1975–1990 was the least, only about 80.39% of the average precipitation over the past 41 years (1975–2016). The average precipitation of the high altitude Tuergate station decreased by 13.98%, from 1975 to 1990, while reduction in glacier area during this period was the highest (Tables 3 and 5). For 1990–2000, the average temperature increased by about 0.39°C compared with 1975–1990, while the average precipitation of the basin was about 181.27 mm, an increase of about 29.43% more than the period 1975–1990, and all stations showed a significant increase in precipitation, and the rate of glacier retreat obviously slowed down (Table 5). In recent years from 2000 to 2016, the temperature of the basin had increased to 6.19°C , an increase of 9.95% compared to the period of 1990–2000, and glacier retreat since 2000 had accelerated compared to 1990–2000, but still lower than the period 1975–1990 because of the significant increase in precipitation. Under continue increasing temperatures, the glaciers of the basin are more sensitive to climate change, the retreat of glaciers existed in any period during 1975–2016, especially when the temperature increased more significant and the precipitation was little. Therefore, in the Aksu River Basin, although the quantitative relationship between glacier retreat and temperature rise is not very clear, the increase in precipitation partly compensates for the mass loss of glacier ice that resulted from increased temperatures affecting the glacier retreat rate in the Aksu River Basin. However, warming temperatures are clearly the main reason for glacier retreat in the region for the period 1975–2016.

5 Discussion and conclusions

The present study revealed the scientific value of detailed multitemporal remote sensing analyses of glacier changes in the Aksu River Basin where lacked sufficient records of observational data from 1975 to 2016. The study reached the following preliminary conclu-

sions:

The vast majority of the glaciers in the Aksu River Basin were dominated by medium and small glaciers, there were 2011 small glaciers ($<1 \text{ km}^2$), about 74.26% of the total glacier number, which covered 21.49% of the total glacierized area. We have determined that glacial recession has occurred in the Aksu River Basin since 1975. We identified 2708 glaciers with a total area of 3731.24 km^2 in 1975 that had decreased to 2506 by 2016, a loss of 965.7 km^2 (a shrinkage rate of 25.88%, about $0.63\% \text{ yr}^{-1}$). Moreover, the glacier areas of the east, southeast and south aspects decreased by $0.86\% \text{ yr}^{-1}$, $0.82\% \text{ yr}^{-1}$ and $0.79\% \text{ yr}^{-1}$, respectively, while the northwest aspect decreased by 18.48%, only about $0.45\% \text{ yr}^{-1}$. Compared with the adjacent glacierized basin, the glacier area of the basin showed a comparatively higher shrinkage rate, which is significantly higher than the Yarkant River Basin, a rate of $0.36\% \text{ yr}^{-1}$ (Feng *et al.*, 2015), the Kaidu River Basin, $0.35\% \text{ yr}^{-1}$ (Li *et al.*, 2006) and the Tarim River Basin, about $0.08\text{--}0.11\% \text{ yr}^{-1}$ (Shangguan *et al.*, 2009). This may be due to the rapid temperature rise in the Aksu River Basin, especially in recent decades.

We have determined that the median elevation of the glaciers in the Aksu River Basin increased by 36.09 m, while the elevation of the glacier termini rose by about 67.85 m, from 4078.26 to 4146.11 m for 1975–2016. The glacier median and average terminus elevation of the western Toxkan River Basin increased by 36.62 m and 67.42 m, and the glacier median and average terminus elevation of the eastern Kumalak River Basin increased by 33.08 m and 63.69 m.

The temperature of the basin increased by 1.56°C , the rate was about $0.38^\circ\text{C } 10\text{yr}^{-1}$ over the past 41 years. The increasing temperature not only accelerated the glacier retreat, but also reduced the rates of snowfall in mountain regions, and the decreased snowfall had a direct effect on glacier accumulation and ablation (Chen *et al.*, 2017). It was mentioned that with the increase in temperature, the significantly increased precipitation compensated for the glacier mass loss to some extent. Meanwhile, the substantially increased precipitation may have some impacts on the rate of glacier retreat. A similar effect of precipitation on glacier retreat was observed elsewhere in the Tianshan Mountains and other mountain ranges (Bolch *et al.*, 2010), as for example, the Terskey-Alatoo area in the inner Tianshan Mountains (Kutuzov and Shahgedanova, 2009), and in the Kaidu River Basin in the Central Tianshan Mountains (Li *et al.*, 2006). Schauwecker (2014) and Vuille (2008) found that the glacier changes in the Cordillera Blanca region of Peru were mainly affected by precipitation change, and the significant increased precipitation made the glacier retreat rate and the elevation of glacier mass loss balance lines increased slower significantly in summer at high altitudes. Over the past 41 years, the glaciers in the Aksu River Basin had tended to shrink, but the rate of glacier retreat slowed down. By analysing the rate of glacier retreat of the two typical glaciers, the RGI50-13.09540 glacier of Toxkan River Basin and the RGI50-13.04920 glacier of Kumalak River Basin, the rate of the two typical glaciers retreat both slowed down obviously during 1990–2000 compared to 1975–1990. Furthermore, the rate of the RGI50-13.04920 glacier retreat had slowed down, from 1.02% during 1975–1990 to 0.27% in the period 1990–2000 and had decreased by about 0.15% in the period 2000–2016. Under the global warming and rising temperatures, the rate of glacier retreat may be directly related to the increasing of precipitation, except for the reasons of topography and altitude.

A detailed study will continue to analyze the effects of future climate change in the extent and mass of the glaciers and snow on runoff, and, this study will help to improve integrated water resource management in the Aksu Basin and Tarim River.

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