

Long-term changes in the tree radial growth and intrinsic water-use efficiency of Chuanxi spruce (*Picea likiangensis* var. *balfouriana*) in southwestern China

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Abstract: Elevated CO₂ level in the atmosphere is expected to improve the tree growth rates and intrinsic water-use efficiency (iWUE). Although current results inferring from tree rings found the tree growth decline in water-limited area, it is still unclear whether spruce trees in humid southwestern China benefit from the increasing CO₂. In this study, tree-ring width data were used to investigate the tree radial growth rate of Chuanxi spruce (*Picea likiangensis* var. *balfouriana*). Moreover, combining with the tree-ring carbon isotope date, we analyzed the physiological responses of Chuanxi spruce to rising CO₂ concentrations in the atmosphere (C_a) associated with climatic change in southwestern China. From 1851 to 2009, iWUE of Chuanxi spruce rose by approximately 30.4%, and the ratio of atmospheric CO₂ to leaf intercellular CO₂ concentration (C_i/C_a) showed no significant trend in the study area. The result suggested that Chuanxi spruce used an active response strategy when C_a was significantly increased. iWUE showed a significant increasing trend in parallel with tree radial growth, indicating that the increasing iWUE resulted in an increase in radial growth. These results suggest that spruce forests in southwestern China have not shown declining trends under increasing C_a and climate change scenarios, in contrast to trees growing in water-limited areas. Therefore, spruce forests benefit from the increasing CO₂ in the atmosphere in the humid areas of southwestern China.

Keywords: tree ring; basal area increment; carbon isotope; intrinsic water-use efficiency; CO₂ fertilization

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1 Introduction

Atmospheric CO₂ concentrations (C_a) have been rising at an unprecedented rate since the industrial revolution and are now greater than 390 ppm (IPCC, 2013). Increased C_a and associated with climate change are expected to improve the intrinsic water-use efficiency (iWUE) levels of trees, which is a useful physical indicator of the carbon assimilated in the leaf transpiration process (Ehleringer and Cerling, 1995; Norby and Zak, 2011; Kallarackal and Roby, 2012). As iWUE improved, the tree growth usually increased and its ability for carbon sequestration will enhance (Fang *et al.*, 2001; Morén *et al.*, 2001). According to simulations based on empirical analysis, additional increases in C_a will largely improve the iWUE in different forest types by the end of the 21st century (Huang *et al.*, 2007). However, we know little about the effects of increasing C_a and associated with global change on natural tree growth in different regions on a long time scale (Liu *et al.*, 2014) owing to lack of long-term observational data.

Stable carbon compositions ($\delta^{13}\text{C}$) in tree rings have been recommended as a useful tool for understanding past environment conditions and how plants respond to environmental change (Hietz *et al.*, 2005; Linares *et al.*, 2009). Previous studies have used tree ring $\delta^{13}\text{C}$ to analyze how trees respond to climate change and increasing C_a in nature condition (Loader *et al.*, 2008; Nock *et al.*, 2011; Liu *et al.*, 2014). Their results show that the responses are region-dependent, suggesting that trees use different adaptation strategies in response to environmental change. Increasing iWUE levels do not always enhance tree radial growth rates due to the interaction with different growth conditions and tree species (Newberry, 2010; Andreu-hayles *et al.*, 2011; Gyenge *et al.*, 2012; Silva *et al.*, 2013; Brito *et al.*, 2016). In China, studies have been performed in water-limited area (Wang *et al.*, 2012; Xu *et al.*, 2013; Wu *et al.*, 2015), and their results show that the tree radial growth rates do not accelerate when iWUE increases significantly. However, the effects on trees growing in humid locations are not fully understood. Although some studies were carried out in humid area of China (Silva *et al.*, 2016; Huang *et al.*, 2017; Li *et al.*, 2017), more research is needed to gain more knowledge on how different tree species growing in different environmental conditions respond to increasing C_a and climate change.

In southwestern China, Chuanxi spruce (*Picea balfouriana*) plays a key role in environmental protection and wood production (Li *et al.*, 2014). It is crucial to evaluate how spruce trees respond to C_a increases and to climate change. In our study, long-term records of basal area increments (BAI) and iWUE were developed for Chuanxi spruce based on tree-ring width data and $\delta^{13}\text{C}$ data, respectively. Further, we analyzed the tree growth rates and gas exchange responses to both rising C_a levels and changing climatic patterns. Our objectives were (1) to understand how BAI and iWUE have changed with increasing C_a levels and climatic changes from 1851 to 2009; (2) to determine the relationship between iWUE and BAI; and (3) to discuss how trees physiologically respond to increasing C_a.

2 Materials and methods

2.1 Description of the study site

The study site was located in the town of Jinchuan in Sichuan Province of Southwest China (101°42'E, 31°31'N). This area is located in Southwest China's plateau area, and it is close

to the Tibetan Plateau (Figure 1). The elevation exceeds 3500 m. The rainy season runs from May to September, and annual precipitation levels range from 500 mm to approximately 800 mm. June is the wettest month, and December is the driest. The annual mean temperature is 12.5°C. January is the coldest month, and July is the hottest month (Figure 2). The climate data used in this study was obtained from the Jinchuan Meteorological Station (102°0'E, 31°30'N). From 1961 to 2008, temperatures in this area did not show any increasing trend and annual precipitation increased slightly (by approximately 3.73 mm per year, Figure 3).

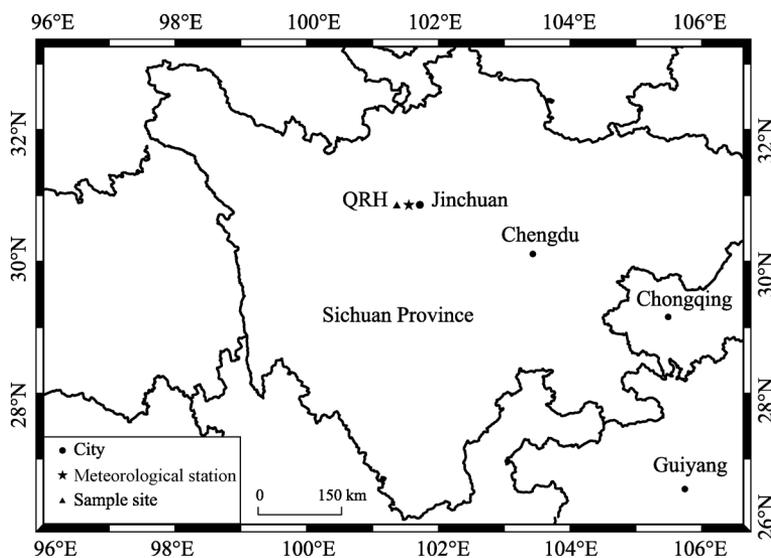


Figure 1 Location of the sample site and meteorological station

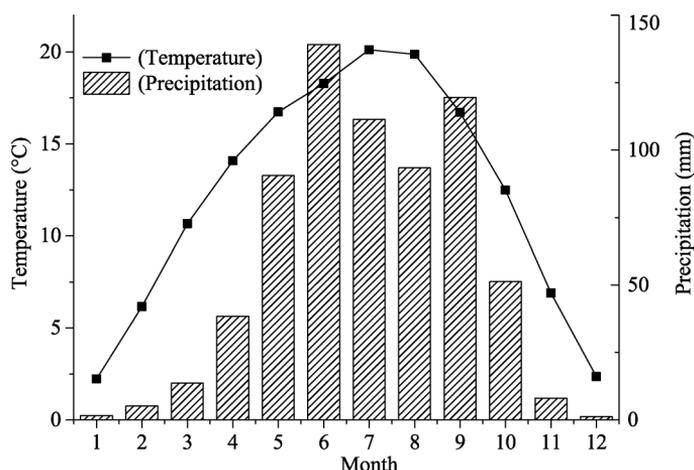


Figure 2 Mean monthly temperatures and precipitation at the Jinchuan Meteorological Station from 1960 to 2008

2.2 Tree ring sampling and analysis

We sampled 56 increment cores from 25 healthy spruce trees (two or three cores per tree) in total using a 10-mm-diameter increment borer. The increment cores were treated by standard dendrochronological procedures at the tree ring lab. The tree-ring width was measured using the Lintab-6 platform. The resolution of the measurement was 0.01 mm. The COFECHA

program was used to check the accuracy of the tree-ring width measurements and cross-dated results (Holmes, 1983). Then, the ARSTAN program was used to build the standard tree ring width chronology (Cook and Holmes, 1986). The negative exponential and linear regression curves were used to detrend the tree-ring width data. To evaluate long-term tree growth trends, we converted the tree-ring width data into BAI using equation 1 below:

$$\text{BAI} = \pi \times (R_n^2 - R_{n-1}^2) \quad (1)$$

where R refers to the radius of a tree in a given year and n refers to the year that the ring formed (West, 1980; Johnson and Abrams, 2009).

2.3 α -Cellulose extraction and isotope analysis

After cross-dating, eight increment cores with no obvious signs of damage from different trees were chosen for isotope analysis. We carefully split the increment cores at a one-year resolution by using knife blades under a microscope. The split

rings of the same year were pooled together prior to α -cellulose extraction. The α -cellulose of tree rings was extracted in a standard method (Green, 1963; Loader *et al.*, 1997). An elemental analyzer coupled with an isotope mass spectrometer was used to measure the tree-ring $\delta^{13}\text{C}$ values. This process was carried out at the state key laboratory of vegetation and environmental change, Institute of Botany, the Chinese Academy of Science. The results were expressed as a ratio of tree ring samples to the Vienna Pee Dee Belemnite (VPDB) standard (Coplen, 1995). The standard deviation of the analysis was less than 0.3‰. iWUE values of Chuanxi spruce were calculated by the Farquhar' method:

$$\text{iWUE} = A/g = (C_a - C_i) / 1.6 \quad (2)$$

where A is the photosynthetic assimilation rate and g is the stomatal conductance (Ehleringer *et al.*, 1993). The C_i values were determined using the equation from Francey and Farquhar (1982):

$$C_i = (\delta^{13}\text{C}_a - \delta^{13}\text{C}_p) / (1 + \delta^{13}\text{C}_p / 1000) - a] * C_a / (b - a) \quad (3)$$

where $\delta^{13}\text{C}_a$ is the $\delta^{13}\text{C}$ value of ambient air and $\delta^{13}\text{C}_p$ is the $\delta^{13}\text{C}$ value of the tree-ring α -cellulose. The parameters a (4.4‰) and b (27‰) were considered constant (a was the isotopic discrimination when CO_2 diffused from the atmosphere into the intercellular space of cells; b was the isotopic discrimination caused by discrimination of RuBP carboxylase against CO_2).

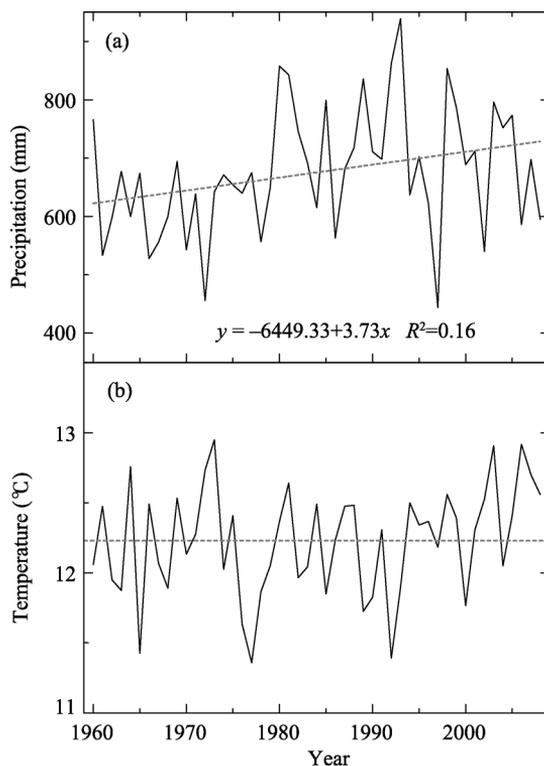


Figure 3 Trends in annual total precipitation (a) and annual mean temperature (b) from 1960 to 2008. The grey dash line is the result of the linear regression of precipitation.

Saurer *et al.* (2004) presented three scenarios to explain how plants respond to increasing CO_2 : (1) C_i remains constant and $i\text{WUE}$ significantly increases, (2) C_i/C_a remains constant and $i\text{WUE}$ slightly increases, (3) both C_a-C_i and $i\text{WUE}$ remain constant. In this study, we use Saurer's method to evaluate how trees physiologically respond to increasing C_a .

3 Results

3.1 The trends of tree-ring width, BAI and tree-ring $\delta^{13}\text{C}$

Overall, the Chuanxi spruce tree-ring width exhibited a clearly increasing trend over the period of 1851–2009 (Figure 4). During the early phases of the study period (1851–1900), the tree-ring width showed a relatively flat phase followed by a continuously increasing phase until 1950. During the last portion of our study period (1951–2009), the tree-ring width data increased steadily, reaching values greater than the long-term mean. The BAI levels followed a similar trend as the tree-ring width. From 1851–1900, the BAI levels did not significantly change, with a mean value of $6.97 \text{ cm}^2\cdot\text{year}^{-1}$. Then, the BAI levels rapidly increased from 1901 to 1950, reaching a peak value of $10.4 \text{ cm}^2\cdot\text{year}^{-1}$ in 1933. After 1951, the BAI increased slightly, and its mean value reached peak level during the study period (1851–2009).

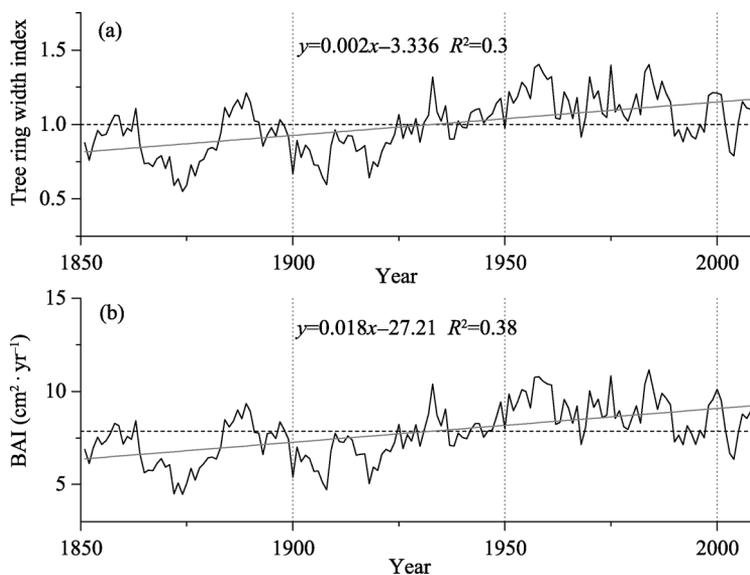


Figure 4 Trends of the tree-ring width (a) and basal area increment (BAI) (b) for Chuanxi spruce from 1851 to 2009. Horizontal lines represent the mean value for the study period. The grey line is the result of the linear regression of BAI.

The raw $\delta^{13}\text{C}$ value for Chuanxi spruce decreased significantly from 1851 to 2009, with a mean value of -22.87‰ . The $\delta^{13}\text{C}$ changes from 1851 to 1950 were minor, ranging from -21.048 to -23.043 at a decreasing rate of 0.02‰ per year. After 1950, trees had accelerated rates of $\delta^{13}\text{C}$ decline, reaching 0.04‰ per year, with the lowest value of -24.176‰ in 2008. These decreasing $\delta^{13}\text{C}$ trends reflected changes in atmospheric $\delta^{13}\text{C}$. The raw $\delta^{13}\text{C}$ values for Chuanxi spruce had more negative values after 1850 because more isotopically depleted CO_2 was released into the atmosphere after the industrial revolution. It is necessary to re-

move this effect when using our $\delta^{13}\text{C}$ series to extract climatic signals. To correct the raw $\delta^{13}\text{C}$ values, we used a method presented in a previous study (McCarroll and Loader, 2004). After removing the atmospheric $\delta^{13}\text{C}$ trends, the corrected series was smooth, with a mean value of -22.16‰ (Figure 5).

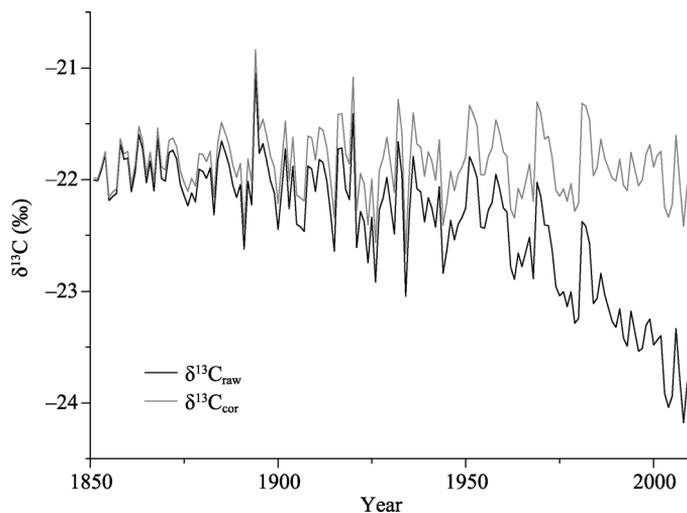


Figure 5 The raw ($\delta^{13}\text{C}_{\text{raw}}$) and corrected ($\delta^{13}\text{C}_{\text{cor}}$) chronologies for Chuanxi spruce from 1851 to 2009

3.2 Climate correlation analysis

Based on the results of correlation analysis, the temperature in June presented significantly positive correlations with tree-ring width ($r=0.495$, $p<0.01$) and BAI ($r=0.542$, $p<0.01$), although the level of tree-ring width was slightly lower than BAI's (Figures 6a and 6b). Meanwhile, correlations between precipitation and tree-ring width and BAI were very weak; none of these values reached significant levels.

Comparing with the climatic response of tree-ring width and BAI, the climatic response of the corrected $\delta^{13}\text{C}$ ($\delta^{13}\text{C}_{\text{cor}}$) showed a different pattern. We found tree-ring $\delta^{13}\text{C}_{\text{cor}}$ values presented a significantly positive correlation with temperature from June to August (Figure 6c). The temperature in February of the current year also showed a strong relationship with the $\delta^{13}\text{C}_{\text{cor}}$ series. We also found a negative but non-significant correlation between the precipitant and $\delta^{13}\text{C}_{\text{cor}}$ series for the growing season of the current year.

3.3 Long-term C_i , C_i/C_a , C_a-C_i and $i\text{WUE}$ trends

The C_i , $i\text{WUE}$, C_i/C_a , and C_a-C_i variations for the study period (1851–2009), which were inferred from tree-ring carbon isotopes, are shown in Figure 7. The C_i increased significantly from 1851 to 2009 and increased faster in recent years (from 1951 to 2009). C_a-C_i also showed a strong increasing trend from 1851 to 2009 (slope=0.25, $P<0.001$). During the early phases of the study period (1851–1900), C_i did not have an increasing or decreasing trend. However, C_i showed a clear increasing trend (slope=0.23, $P<0.001$) after the 1900s. Both C_i/C_a and C_a-C_i changed very slowly during the first 50 years of the study period (1851–1900), and C_i/C_a remained almost stable. However, the C_a-C_i levels changed faster than the C_i/C_a levels, which generated a slope of approximately 0.45 ($p<0.001$). The

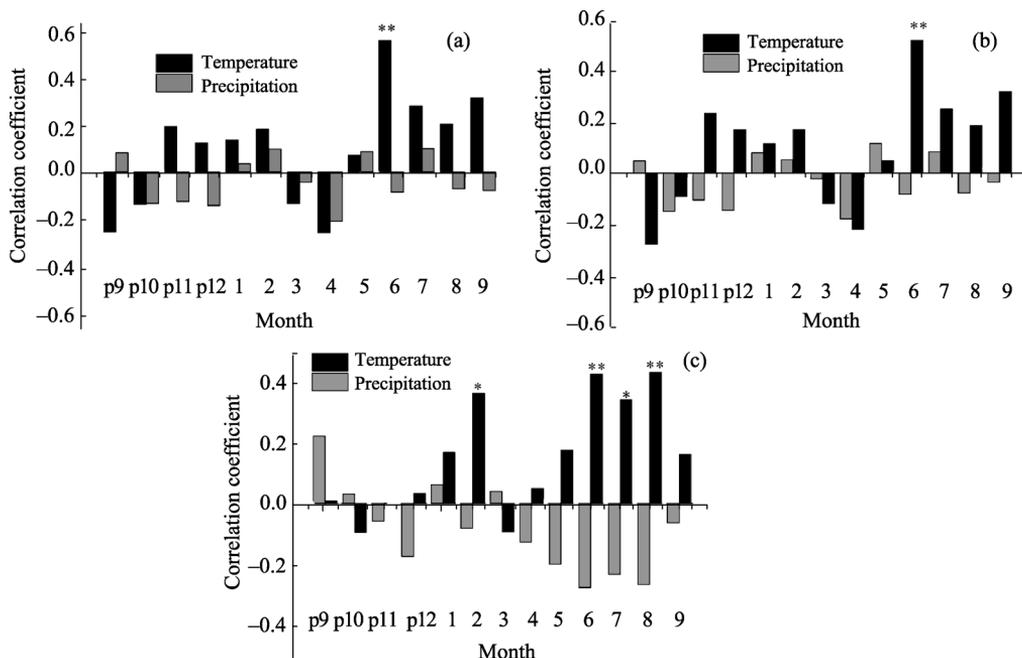


Figure 6 Correlations between tree-ring width (a), BAI (b), $\delta^{13}C_{cor}$ (c) and climate factors from 1960–2008. *p* indicates the previous year. Significant levels are shown above the bars: * $P < 0.05$ and ** $P < 0.01$.

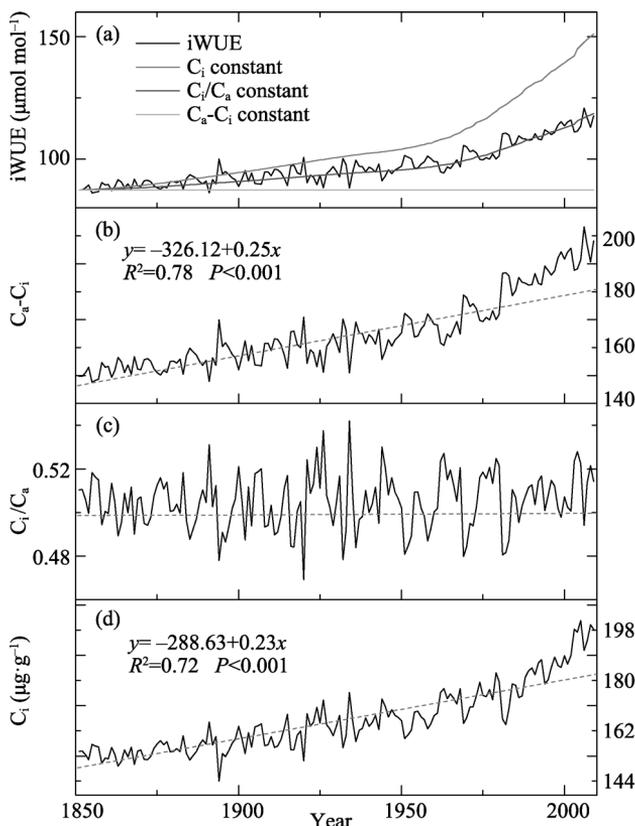


Figure 7 Trends in iWUE (a), C_a-C_i (b), C_i/C_a (c) and C_i (d) for Chuanxi spruce from 1851 to 2009. The grey dash lines are the results of linear regression. iWUE in different scenarios was shown in (a).

C_i/C_a levels remained relatively constant. Overall, throughout the study period, C_a-C_i and C_i followed a significantly increasing trend, but C_i/C_a remained almost stable.

The iWUE for Chuanxi spruce increased from 1851 to 2009. From 1851 to 1950, iWUE did not change rapidly (slope=0.02, $p<0.001$). After 1950, iWUE increased faster than it did over the previous 100 years, with a slope of 1.3 ($p<0.001$). iWUE reached a peak value of $120.75 \mu\text{mol mol}^{-1}$ in 2006. Compared with the mean value of iWUE from 1851 to 1950, it increased by approximately 30.4%.

4 Discussion

4.1 The climatic information recorded in the tree-ring width and $\delta^{13}\text{C}$ data

The significantly positive effects of June temperatures on tree-ring width and BAI indicate that summer temperatures should control the growth rate of Chuanxi spruce. Meanwhile, the weak effect of precipitation on the tree-ring width, BAI and $\delta^{13}\text{C}$ show that water is not as important as temperature in controlling tree radial growth. This difference is attributed to the fact that our study site is located in humid region, where annual precipitation levels reach nearly 800 mm. In addition, our study site is situated at a high elevation. Temperature should be an important factor controlling tree growth rates. Similar results were found on Western Sichuan Plateau (Duan *et al.*, 2010; Li *et al.*, 2014). Since tree growth was not limited by water condition, higher mean summer temperature could contribute to tree growth by stimulating photosynthesis.

From the results of correlation analysis, we found that tree-ring $\delta^{13}\text{C}$ value was dominated by the growing season temperatures (from July to August). Because carbon isotope discrimination is controlled by photosynthesis and leaf stomatal conductance, the most important climatic controls for $\delta^{13}\text{C}$ values influence these physiological processes (McCarroll and Loader, 2004; Saurer *et al.*, 2004). Our study site positioned along an upper tree line, where temperature was an important factor affecting tree growth. Overall, the radial growth rate of Chuanxi spruce and its carbon isotope discrimination were controlled by temperature during the growing season in this study area (Ferrio and Voltas, 2005; Leavitt, 2010; Loader *et al.*, 2013).

4.2 iWUE trends and their physiological implications

In recent decades, several studies were performed to investigate the long-term changes in iWUE in different regions. Most of the results showed that iWUE increased significantly, especially in recent years. Peñuelas *et al.* (2011) found that iWUE increased by approximately 20.5% worldwide. In tropical areas, Brienen *et al.* (2011) found that the iWUE increased by approximately 40%. In temperate areas, previous results show that iWUE increased from 19% to 48% among different tree species (Feng, 1999; Waterhouse *et al.*, 2004). Frank *et al.* (2015) found that iWUE increased approximately 22% in Europe. According to our study, the iWUE values of Chuanxi spruce increased by 30.4% over the last 50 years, which generally agrees with the results in temperate areas. However, the increase found in our study is higher than the global average and the European average and lower than that of tropical areas.

The increasing C_a is the main cause of the strong increasing iWUE trends. Our regression analyses showed that increasing atmospheric C_a levels can explain 89.8% of the observed variation in iWUE (Figure 8). This result makes sense because the theoretical model that we used to calculate the iWUE (Ehleringer *et al.*, 1993) was significantly affected by the concentration of CO_2 (Silva and Horwath, 2013). Moreover, rising C_a limits leaf stomatal conductance but stimulates the photosynthesis of leaves, which may stimulate the iWUE of trees. FACE and open-top chamber experiments

confirmed these physiological processes in trees (Eamus *et al.*, 1993; Ainsworth and Rogers, 2007). Temporal variations in C_i and C_i/C_a may help us to know the response of spruce trees to increasing C_a and how their physiological processes have changed. For our study site, the C_i/C_a slope did not show any significant change, but both C_i and C_a-C_i showed a significant increasing trend. Based on the hypothesis of Saurer *et al.* (2004), our result was similar to scenario 2 (Figure 7 a). This scenario was the most common result of tree-ring carbon isotope analysis around the world (Feng, 1999). Constant C_i/C_a levels reflect proportional regulation of A and g in Chuanxi spruce. It also indicated that trees have already adapted to increased C_a . Trees should also have decreased leaf stomatal conductance, and the photosynthetic rates of trees should also decrease. (Saurer *et al.*, 2004).

4.3 The relationship between iWUE and BAI

Generally, forests should theoretically show an increasing growth trend as C_a continues to rise (Bazzaz, 1990; Knapp *et al.*, 2001). Increased C_a may directly stimulate photosynthesis through increased the RuBisCo enzyme's reaction rate. Moreover, reduced CO_2 requirements decrease stomatal conductance thereby increasing iWUE (Turnbull *et al.*, 2001; Huang *et al.*, 2007). This phenomenon has been found in controlled experiments (e.g., FACE and open-top chamber experiments). However, in recent decades, several studies have found that forests growing in arid and semi-arid areas did not exhibit CO_2 fertilization effects. These studies attribute the decline in tree growth to more severe drought effects (Silva *et al.*, 2010; Wang *et al.*, 2012). Few reports have been conducted on humid areas in China. According to our results, increasing CO_2 levels are the main cause of the increased iWUE levels among Chuanxi spruce trees (Figure 8). The regression analysis between iWUE and BAI (Figure 9a) shows a strong positive relationship ($r^2=0.38$, $p<0.05$). For the first-difference data of iWUE and BAI (Figure 9b), they also have a positively relationship ($r^2=0.06$, $p<0.05$). iWUE can explain 32% of BAI variation. Increasing iWUE levels may accelerate tree radial growth, and the trees can benefit from the rising CO_2 levels, which indicates that the CO_2 fertilization effect exists in the humid areas of southwestern China.

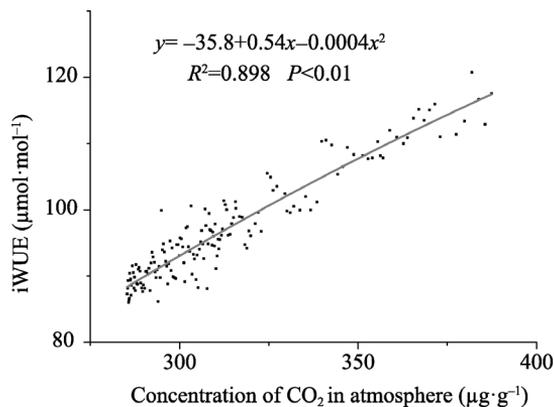


Figure 8 Scatterplot of the annual values of iWUE versus C_a and the regression analysis results (grey line)

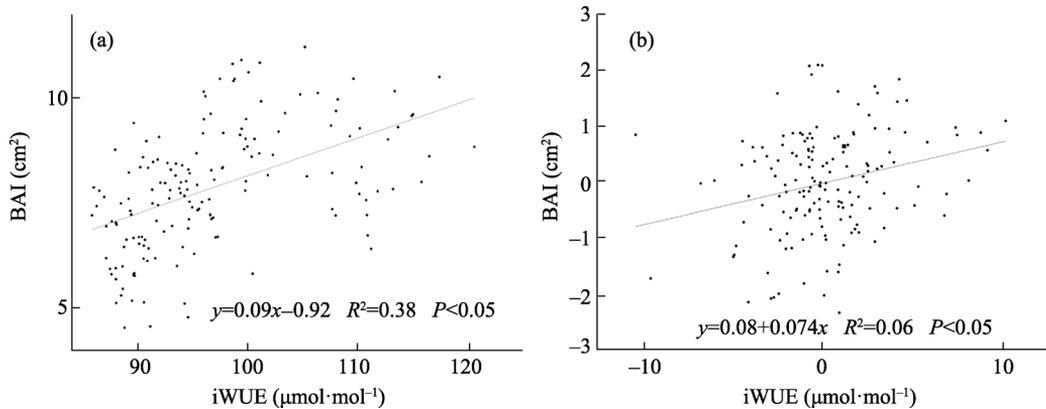


Figure 9 The relationship between BAI and iWUE for 1851–2009. (a) raw values; (b) first-difference values

5 Conclusion

In this study, annual-resolution $\delta^{13}\text{C}$ and BAI chronologies were built for a temperate forest in southwestern China. The $\delta^{13}\text{C}$ chronology responses to climatic variations showed that the $\delta^{13}\text{C}$ was controlled by the current growing season temperature. Our results indicated that as C_a increased significantly, C_i/C_a remained constant, whereas C_i and iWUE showed a significantly increasing trend. Chuanxi spruce trees had a positive response to rising concentrations of CO_2 . iWUE levels increased by 30.4% in the last 50 years. Tree radial growth occurred in parallel with increased iWUE levels, and C_a was correlated with iWUE. The results showed that tree radial growth benefited from increasing C_a levels through the so-called CO_2 fertilization effect. The spruce trees in our study site exhibited healthy growth rates and could fix a greater amount of CO_2 in the future. Our results provide useful information for the local government to develop policies for forest management in this area.

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